## JC03 Rec'd PCT/PTO 27 MAY 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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#### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

COI	NCERNING A SUBMISS	10/536882 <sup>37 CFR 1.5)</sup>								
PCTY	TIONAL APPLICATION NO. DE03/003718	11/10/2003	04/12/2002							
TITLE OF INVENTION Smart card holder										
APPLICANT(S) FOR DO/EO/US Siemens AG										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.										
2.	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.									
3.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.	The US has been elected (Article 31	).								
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	a. is attached hereto (required only if not communicated by the International Bureau).									
	b. 🚺 has been communicated by the International Bureau.									
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. 🔽	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
	a. is attached hereto.									
	b. has been previously sub	mitted under 35 U.S.C. 154(d)(4).								
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
	a. are attached hereto (required only if not communicated by the International Bureau).									
	b. An have been communicated by the International Bureau.									
	c. have not been made; however, the time limit for making such amendments has NOT expired.									
_	d. have not been made ar	d will not be made.								
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10. 🔲	An English language translation of Article 36 (35 U.S.C. 371(c)(5)).	the annexes of the International Preliminary	Examination Report under PCT							
Items 11 to 20 below concern document(s) or information included:										
11. 🗸	An Information Disclosure Statement	ent under 37 CFR 1.97 and 1.98.								
12. 🗸	An assignment document for record	ding. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.							
13.	A preliminary amendment.									
14.	An Application Data Sheet under 3	7 CFR 1.76.								
15. 🗀	A substitute specification.									
16. 🔽	A power of attorney and/or change	of address letter.								
17.	A computer-readable form of the s	equence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.							
18.	A second copy of the published Int	emational Application under 35 U.S.C. 154(c	i)(4).							
19. 📙	A second copy of the English lange	uage translation of the international application	on under 35 U.S.C. 154(d)(4).							
20. 🗸	Other items or information: Retu	ırn Post Card								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# JC20 Rec'd PCT/PTO 2 7 MAY 2005

PTO-1390 (Rev. 12-2004)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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to be as	FION NO. (if known, see		INTERNATIONAL APPLICATION NO. PCT/DE03/003718		ATTORNEY'S DOCKET NUMBER 2002P16792WOUS				
21. The following fees are submitted:									
a) Basic na	ational fee	\$ 300							
b) Examin	nation fee	\$ 200							
c) Search	fee	s 500							
	TOTAL OF ABOVE CA	s 1000							
listing or co	ee for specification and of computer program listing file to sheets of paper or fractions.	1000							
Total Sheets			of each additional 50 or fraction (round up to a whole number)						
13 -100 =	0 /50 =		0	x \$250.00	\$ O				
Surcharge of \$1 claimed priority	<b>30.00</b> for furnishing the odate (37 CFR 1.492(e)).	he earliest	\$						
CLAIMS	NUMBER FI	LED	NUMBER EXTRA	RATE	\$	<u> </u>			
Total claims	5	- 20 =	0	× \$50.00	s O				
Independent cla	ims 1	- 3 =	0	× \$200.00	\$ <b>O</b>				
MULTIPLE DEP	ENDENT CLAIM(S) (if a	oplicable)		+ \$360.00	\$				
			TOTAL OF ABOVE CAL		\$ 1000				
Applicant of by ½.	claims small entity status.	See 37 CFR	1.27. The fees indicated above	are reduced	\$				
				SUBTOTAL =	\$ 1000				
	of <b>\$130.00</b> for furnishing to date (37 CFR 1.492(f)).	\$							
		\$ 1000							
	g the enclosed assignme te cover sheet (37 CFR 3	s 40.00							
		\$ 1040.00							
					Amount to be refunded:	\$			
					Amount to be charged:	\$ 1040.00			
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 502464. A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL COR	RESPONDENCE TO:			. ^	ı				
SEND ALL CORRESPONDENCE TO:  Customer Number: 28204  Customer Number: 28204									
OR Jacob Eisenberg									
Jacob Eisenber Intellectual Prop	rg c/o Siemens Schweiz	senderg							
Albisriederstras	se 245		4	<u> 13,410</u>					
CH-8047 Zürich, Switzerland REGISTRATION NUMBER									

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#### **SIEMENS**

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United States Patent and Trademark Office

**Customer Service Window** 

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Your reference

Our reference

2002P16792WOUS/KUR

Date May 25, 2005

Customer No.: 28204

Re:

**Utility Patent Application** 

Atty. Dock.:

2002P16792WOUS

Serial No.: to be assigned Inventor(s): Klaus Hug et al.

Filed: Confirmation No.: to be assigned to be assigned

For:

Smart card holder

Sir:

2.

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. Transmittal Letter to the United States Designated/Elected Office Concerning a Submission under 35 U.S.C. 371
  - Specification, Claims and Drawings;
- Information Disclosure Statement: 3.
- 4. Information Disclosure Statement by Applicant (2 pages);
- 5. Patent Documents:
- 6. Assignment Recordation Form Cover Sheet;
- 7. **Executed Assignment**; 8.
- **Executed Declaration:**
- 9. Application Data Sheet;
- 10. Preliminary Amendment; and
- 11. Return Post Card.

It is respectfully requested that the attached post card by stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

In the event that the transmittal form is separated from this document and the Patent Office determines that an extension of time and/or other relief is required, Applicants petition for any

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### **SIEMENS**

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required relief including extensions of time and authorizes the Commissioner to charge the cost of such petition and/or other fees in connection with the filing of this document to <a href="Deposit Account No.: 502464">Deposit Account No.: 502464</a> referencing attorney docket number <a href="2002P16792WOUS">2002P16792WOUS</a>.

However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: 05/25/05

Respectfully Submitted,

**Enclosures** 

Jacob Eisenberg
Attorney for Applicant
Registration No. 43,410
Customer No.: 28204